

# Conditions for Hypercyclicity

## Criterion of a Tuple

Bahmann Yousefi and Fariba Ershad

Department of Mathematics, Payame Noor University  
P.O. Box: 71955-1368, Shiraz, Iran  
b\_yousefi@pnu.ac.ir, fershad@pnu.ac.ir

### Abstract

In this paper we give necessary and sufficient conditions for a tuple satisfies the Hypercyclicity Criterion.

**Mathematics Subject Classification:** 47B37; 47B33

**Keywords:** tuple of operators, hypercyclic vector, Hypercyclicity Criterion

## 1 Introduction

Let  $\mathcal{T} = (T_1, T_2)$  be a pair of commuting continuous linear operators acting on an infinite dimensional Banach space  $X$ . We will let

$$\mathcal{F} = \{T_1^{k_1}T_2^{k_2} : k_i \geq 0, i = 1, 2\}.$$

For  $x \in X$ , the orbit of  $x$  under the tuple  $\mathcal{T}$  is the set

$$Orb(\mathcal{T}, x) = \{Sx : S \in \mathcal{F}\}.$$

A vector  $x$  is called a hypercyclic vector for  $\mathcal{T}$  if  $Orb(\mathcal{T}, x)$  is dense in  $X$  and in this case the tuple  $\mathcal{T}$  is called hypercyclic. Also, by  $\mathcal{T}_d$  we will refer to the set

$$\mathcal{T}_d = \{S \oplus S : S \in \mathcal{F}\}.$$

We say that  $\mathcal{T}_d$  is hypercyclic provided there exist  $x_1, x_2 \in X$  such that

$$\{W(x_1 \oplus x_2) : W \in \mathcal{T}_d\}$$

is dense in  $X \oplus X$ .

It is interesting to know that many continuous linear mappings can actually be hypercyclic. The first example of a hypercyclic operator appeared in the space of entire functions, by Birkhoff [2] in 1929. He showed the hypercyclicity of the translation operator. Also, in 1952, Maclane [9] proved the hypercyclicity of the differentiation operator. An example of a hypercyclic operator on Banach spaces was constructed by Rolewicz [10] in 1969. He showed that if  $B$  is the backward shift on  $\ell^p$ , then  $\lambda B$  is hypercyclic if and only if  $|\lambda| > 1$ .

A nice criterion namely Hypercyclicity Criterion, was developed independently by Kitai [8] and, Gethner and Shapiro [7]. This criterion has been used to show that hypercyclic operators arise within the classes of composition operators [4], weighted shifts [14], adjoints of multiplication operators [5], and adjoints of subnormal and hyponormal operators [3]. The extension of this criterion for tuples has been given in [6], and we want to give necessary and sufficient conditions for a tuple on a separable Banach space satisfies this Criterion. Although the techniques work for any  $n$ -tuple of operators, but for simplicity we prove our results only for the case  $n = 2$ . For some topics we refer to [1–13].

## 2 Main Results

First consider the following extension of Hypercyclicity Criterion for tuples that has been given in ([6]).

**Theorem 2.1** (*The Hypercyclicity Criterion for tuples*) Suppose  $X$  is a separable infinite dimensional Banach space and  $\mathcal{T} = (T_1, T_2)$  is a pair of continuous linear mappings on  $X$ . If there exist two dense subsets  $Y$  and  $Z$  in  $X$ , and a pair of strictly increasing sequences  $\{m_j\}$  and  $\{n_j\}$  such that :

1.  $T_1^{m_j} T_2^{n_j} \rightarrow 0$  on  $Y$  as  $j \rightarrow \infty$ ,
2. There exists a sequence of function  $\{S_j : Z \rightarrow X\}$  such that for every  $z \in Z$ ,  $S_j z \rightarrow 0$ , and

$$T_1^{m_j} T_2^{n_j} S_j z \rightarrow z,$$

then  $\mathcal{T}$  is a hypercyclic tuple.

Following theorem states the Hypercyclicity Criterion in terms of open sets.

**Theorem 2.2** Let  $X$  be a separable infinite dimensional Banach space and  $\mathcal{T} = (T_1, T_2)$  be a pair of operators  $T_1, T_2$ . Then the followings conditions are equivalent:

- i)  $\mathcal{T}$  satisfies the Hypercyclicity Criterion.

ii)  $\mathcal{T}$  is hypercyclic and for each nonempty open subset  $U$  and each neighborhood  $W$  of zero,

$$T_1^m T_2^n U \cap W \neq \phi$$

and

$$T_1^{-m} T_2^{-n} U \cap W \neq \phi$$

for some integers  $m, n$ .

iii) For each pair  $U$  and  $V$  of non-void open subsets of  $X$ , and each neighborhood  $W$  of zero,

$$T_1^m T_2^n U \cap W \neq \phi$$

and

$$T_1^m T_2^n W \cap V \neq \phi$$

for some integers  $m, n$ .

**Proof.** It is easy to see that (i) implies (ii). Suppose that  $T$  satisfies the condition (ii),  $U$  and  $V$  are nonempty open subsets of  $X$  and  $W$  is a neighborhood of zero. Since  $\mathcal{T}$  is hypercyclic, we have

$$U \cap T_1^{-m} T_2^{-n} V \neq \phi$$

for some positive integers  $m, n$ . Now let  $G$  be a neighborhood of zero that is contained in

$$W \cap T_1^{-m} T_2^{-n} W.$$

By condition (ii), there exist some positive integer  $i, j$  such that

$$T_1^{-i} T_2^{-j} G \cap (U \cap T_1^{-m} T_2^{-n} V) \neq \phi$$

and

$$G \cap T_1^{-i} T_2^{-j} (U \cap T_1^{-m} T_2^{-n} V) \neq \phi.$$

But

$$T_1^{-i} T_2^{-j} G \cap (U \cap T_1^{-m} T_2^{-n} V)$$

is a subset of

$$T_1^{-i} T_2^{-j} W \cap U,$$

hence

$$T_1^{-i} T_2^{-j} W \cap U \neq \phi.$$

Also

$$G \cap T_1^{-i} T_2^{-j} (U \cap T_1^{-m} T_2^{-n} V)$$

is a subset of

$$T_1^{-m} T_2^{-n} W \cap T_1^{-i} T_2^{-j} (T_1^{-m} T_2^{-n} V) = T_1^{-m} T_2^{-n} (W \cap T_1^{-i} T_2^{-j} V),$$

thus

$$T_1^{-i}T_2^{-j}V \cap W \neq \phi$$

which satisfies the condition (iii). Now we prove that (iii) implies (i). It is sufficient to prove that  $\mathcal{T}_d$  is hypercyclic. For this consider four arbitrary open subsets  $U_i$  and  $V_i$  for  $i = 1, 2$ . There exist open subsets  $\hat{U}_i$  and  $\hat{V}_i$  for  $i = 1, 2$ , and a neighborhood  $W_\circ$  of zero such that :

$$\hat{U}_i + W_\circ \subseteq U_i; \quad \hat{V}_i + W_\circ \subseteq V_i; \quad i = 1, 2.$$

Note that condition (iii) implies that  $\mathcal{T}$  is hypercyclic. Hence there exist integers  $p_1, q_1, p_2, q_2$  such that:

$$G_1 = \hat{U}_1 \cap T_1^{-p_1}T_2^{-q_1}\hat{V}_1 \neq \phi$$

and

$$G_2 = \hat{U}_2 \cap T_1^{-p_2}T_2^{-q_2}\hat{V}_2 \neq \phi.$$

Put

$$W = W_\circ \cap T_1^{-p_1}T_2^{-q_1}W_\circ \cap T_1^{-p_2}T_2^{-q_2}W_\circ.$$

Now by condition (iii) there are integers  $m, n$  such that:

$$T_1^mT_2^nG_1 \cap W \neq \phi$$

and

$$T_1^mT_2^nW \cap G_2 \neq \phi.$$

Choose the vectors  $x_\circ$  and  $y_\circ$  in  $X$  such that

$$x_\circ \in \hat{U}_1, \quad T_1^{p_1}T_2^{q_1}x_\circ \in \hat{V}_1, \quad T_1^mT_2^n x_\circ \in W,$$

and

$$y_\circ \in W, \quad T_1^mT_2^n y_\circ \in \hat{U}_2, \quad T_1^{m+p_2}T_2^{n+q_2}y_\circ \in \hat{V}_2.$$

Put  $x = x_\circ + y_\circ$  and

$$y = T_1^{p_1}T_2^{q_1}x_\circ + T_1^{p_2}T_2^{q_2}y_\circ.$$

Then  $x \oplus y \in U_1 \oplus V_1$  and

$$(T_1^mT_2^n \oplus T_1^{m+p_2}T_2^{n+q_2})(x \oplus y) \in U_2 \oplus V_2.$$

So  $\mathcal{T}_d^{(2)}$  is hypercyclic and the proof is complete.

**Definition 2.3** We say that a pair  $\mathcal{T} = (T_1, T_2)$  is semi-hereditarily hypercyclic with respect to a pair of nonnegative increasing sequences  $(\{m_k\}, \{n_r\})$  of integers provided for all pair of subsequences  $(\{m_{k_i}\}, \{n_{r_j}\})$  of  $(\{m_k\}, \{n_r\})$ , the sequence

$$\{T_1^{m_{k_i}}T_2^{n_{r_j}} : i, j \geq 1\}$$

is hypercyclic.

The following theorem gives a necessary and sufficient condition (in terms of open sets) for Tuples being hereditarily hypercyclic with respect to a pair of nonnegative increasing sequences of integers.

**Theorem 2.4** *Let  $\mathcal{T} = (T_1, T_2)$  be a pair of operators acting on a separable infinite dimensional Banach space  $X$ . Then the followings are equivalent:*

- i)  $\mathcal{T}$  is hereditarily hypercyclic with respect to the pair  $(\{m_k\}, \{n_k\})$ .*
- ii) For each pair  $U, V$  of non-void open subsets of  $X$ , there is  $N \geq 1$  such that*

$$T_1^{m_k} T_2^{n_k} U \cap V \neq \phi$$

for any  $k \geq N$ .

**Proof.** (i)  $\rightarrow$  (ii) : Suppose that the condition (ii) does not hold. So there exist some pair  $U, V$  of nonempty open sets such that

$$T_1^{m_{k_j}} T_2^{n_{k_j}} U \cap V = \phi$$

for a pair of subsequences  $(\{m_{k_j}\}, \{n_{k_j}\})$  of  $(\{m_k\}, \{n_k\})$ . This is a contradiction since  $\{T_1^{m_{k_j}} T_2^{n_{k_j}}\}$  is hypercyclic. The assertion (ii)  $\rightarrow$  (i) is clear.

## References

- [1] Bes and A. Peris, Hereditarily hypercyclic operators, *J. Func. Anal.*, **167** (1) (1999), 94-112.
- [2] G. Birkhoff, Demonstration dun theoreme sur les fonctions entieres, *C. R. Acad. Sci. Paris*, **189** (1929), 473-475.
- [3] P. S. Bourdon, Orbits of hyponormal operators, *Mich. Math. Journal*, **44** (1997), 345-353.
- [4] P. S. Bourdon and J. H. Shapiro, *Cyclic phenomena for composition operators*, Memoirs of the Amer. Math. Soc., **125**, Amer. Math. Soc., Providence, RI, 1997.
- [5] P. S. Bourdon and J. H. Shapiro, Hypercyclic operators that commute with the Bergman backward shift, *Trans. Amer. Math. Soc.*, **352** (11) (2000), 5293-5316.
- [6] N. S. Feldman, Hypercyclic tuples of operators and somewhere dense orbits, *J. Math. Appl.*, **346** (2008), 82-98.
- [7] G. Godefroy and J. H. Shapiro, Operators with dense invariant cyclic manifolds, *J. Func. Anal.*, **98** (1991), 229-269.

- [8] C. Kitai, *Invariant closed sets for linear operators*, Dissertation, Univ. of Toronto, 1982.
- [9] G. R. MacLane, Sequences of derivatives and normal families, *J. D. Analyse Math.*, **2** (1952), 72-87.
- [10] S. Rolewicz, On orbits of elements, *Studia Math.*, **32** (1969), 17-22.
- [11] H. N. Salas, Hypercyclic weighted shifts, *Trans. Amer. Math. Soc.*, **347** (1995), 993-1004.
- [12] B. Yousefi and H. Rezaei, Hypercyclicity on the algebra of Hilbert-Schmidt operators, *Results in Math.*, **46** (2004), 174-180.
- [13] B. Yousefi and H. Rezaei, On the supercyclicity and hypercyclicity of the operator algebra, *Acta Mathematica Sinica*, **24** (7) (2008), 1221-1232.

**Received: November, 2010**