

Ore extensions over δ -rigid rings

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Abstract. In this article, we find a relation between the prime radical of a 2-primal ring R and that of $R[x, \sigma, \delta]$, where σ is an automorphism of R and δ is a σ -derivation of R .

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1. INTRODUCTION

A ring R always means an associative ring. \mathbb{Q} denotes the field of rational numbers. $\text{MinSpec}(R)$ denotes the sets of all minimal prime ideals of R . $P(R)$ and $N(R)$ denote the prime radical and the set of all nilpotent elements of R respectively. Recall that $R[x, \sigma, \delta]$ is the usual polynomial ring with coefficients in R and we consider any $f(x) \in R[x, \sigma, \delta]$ to be of the form $f(x) = \sum x^i a_i$, $0 \leq i \leq n$. Multiplication in $R[x, \sigma, \delta]$ is subject to the relation $ax = x\sigma(a) + \delta(a)$ for $a \in R$. In this article, we discuss completely prime ideals and the prime radical of a 2-primal ring and try to relate completely prime ideals of a ring R with the completely prime ideals of $R[x, \sigma, \delta]$. This is given in 2.4. We also find a relation between the prime radical of a 2-primal ring R and that of $R[x, \sigma, \delta]$. This is given in 2.6. Recall that a ring R is 2-primal if $N(R) = P(R)$. R is 2-primal if and only if $P(R)$ is completely semiprime (i.e. $a^2 \in P(R)$ implies $a \in P(R)$, $a \in R$). We also note that any reduced ring is 2-primal, and any commutative ring is also 2-primal. For further details on 2-primal rings, we refer the reader to [3, 5, 7, 10].

Ore-extensions including skew-polynomial rings and differential operator rings have been of interest to many authors. See [1, 2, 4, 8, 9]. In this article we deal with a σ -derivation of a ring R . Let R be a ring. Let σ be an automorphism of R and δ be a σ -derivation of R . We define a δ -rigid ring 2.1, and establish a relation between a δ -rigid ring and a 2-primal ring. We also find a relation between the prime radical of a δ -rigid ring R and that of $R[x, \sigma, \delta]$. Recall that an ideal I of a ring R is called σ -invariant if $\sigma(I) = I$ and is called δ -invariant if $\delta(I) \subseteq I$. Also I is called completely prime if $ab \in I$ implies $a \in I$ or $b \in I$ for $a, b \in R$.

2. MAIN RESULT

We begin with the following definition:

Definition 2.1. Let R be a ring. Let σ be an automorphism of R and δ be a σ -derivation of R . We say that R is a δ -rigid ring if $a\delta(a) = 0$ implies $a = 0$, $a \in R$. We note that a ring R with identity 1 is not a δ -rigid ring as $1\delta(1) = 0$.

Proposition 2.2. *Let R be a 2-primal ring. Let σ be an automorphism of R and δ be a σ -derivation of R such that $\delta(P(R)) \subseteq P(R)$. Let $P \in \text{MinSpec}(R)$ be such that $\sigma(P) = P$. Then $\delta(P) \subseteq P$.*

Proof. The proof follows from Theorem (3.6) and Lemma (3.2) of [6]. We give a sketch of the proof.

Let $P \in \text{MinSpec}(R)$ with $\sigma(P) = P$. Let $a \in P$. Then there exists $b \notin P$ such that $ab \in P(R)$ by Corollary (1.10) of [9]. Now we have $\delta(P(R)) \subseteq P(R)$. Therefore $\delta(ab) = \delta(a)\sigma(b) + a\sigma(b) \in P(R) \subseteq P$. So we have $\delta(a)\sigma(b) \in P$. But $\sigma(b) \notin P$, and therefore $\delta(a) \in P$ as by Proposition (1.11) of [9] P is completely prime. Hence $\delta(P) \subseteq P$. \square

Theorem 2.3. *Let R be a δ -rigid ring. Let σ be an automorphism of R such that $\sigma(P(R)) = P(R)$, and δ be a σ -derivation of R such that $\delta(P(R)) \subseteq P(R)$. Then R is 2-primal.*

Proof. Define a map $\partial : R/P(R) \rightarrow R/P(R)$ by $\partial(a + P(R)) = \delta(a) + P(R)$ for $a \in R$ and $\tau : R/P(R) \rightarrow R/P(R)$ a map by $\tau(a + P(R)) = \sigma(a) + P(R)$ for $a \in R$. Now it is easy to see that τ is an automorphism of $R/P(R)$. Also for any $a + P(R), b + P(R) \in R/P(R)$; $\partial((a + P(R))(b + P(R))) = \partial(ab + P(R)) = \delta(ab) + P(R) = \delta(a)\sigma(b) + a\delta(b) + P(R) = (\delta(a) + P(R))(\sigma(b) + P(R)) + (a + P(R))(\delta(b) + P(R)) = \partial(a + P(R))\tau(b + P(R)) + (a + P(R))\partial(b + P(R))$, and it is obvious that $\partial(a + P(R) + b + P(R)) = \partial(a + P(R)) + \partial(b + P(R))$. Therefore ∂ is a τ -derivation of $R/P(R)$. Now $\delta(a) = 0$ if and only if $(a + P(R))\partial(a + P(R)) = P(R)$ in $R/P(R)$. Thus, as in Proposition (5) of [4], R is a reduced ring and hence R is 2-primal. \square

Proposition 2.4. *Let R be a ring. Let σ be an automorphism of R and δ be a σ -derivation of R . Then:*

1. For any completely prime ideal P of R with $\delta(P) \subseteq P$ and $\sigma(P) = P$, $P[x, \sigma, \delta]$ is a completely prime ideal of $R[x, \sigma, \delta]$.
2. For any completely prime ideal Q of $R[x, \sigma, \delta]$, $Q \cap R$ is a completely prime ideal of R .

Proof. (1) Let P be a completely prime ideal of R . Now let $f(x) = \sum x^i a_i \in R[x, \sigma, \delta]$ and $g(x) = \sum x^j b_j \in R[x, \sigma, \delta]$, $0 \leq i \leq n$, $0 \leq j \leq m$ such that $f(x)g(x) \in P[x, \sigma, \delta]$. Suppose $f(x) \notin P[x, \sigma, \delta]$. We will show that $g(x) \in P[x, \sigma, \delta]$. We use induction on n and m . For $n = m = 1$, the verification is easy. We check for $n = 2$ and $m = 1$. Let $f(x) = x^2 a + xb + c$ and $g(x) = xu + v$. Now $f(x)g(x) \in P[x, \sigma, \delta]$ with $f(x) \notin P[x, \sigma, \delta]$. The possibilities are $a \notin P$ or $b \notin P$ or $c \notin P$ or any two out of these three do not belong to P or all of them do not belong to P . We verify case by case.

Let $a \notin P$. Since $x^3 \sigma(a)u + x^2(\delta(a)u + \sigma(b)u + av) + x(\delta(b)u + \sigma(c)u + bv) + \delta(c)u + cv \in P[x, \sigma, \delta]$, we have $\sigma(a)u \in P$, and so $u \in P$. Now $\delta(a)u + \sigma(b)u + av \in P$ implies $av \in P$, and so $v \in P$. Therefore $g(x) \in P[x, \sigma, \delta]$.

Let $b \notin P$. Now $\sigma(a)u \in P$. Suppose $u \notin P$, then $\sigma(a) \in P$ and therefore $a, \delta(a) \in P$. Now $\delta(a)u + \sigma(b)u + av \in P$ implies that $(b)u \in P$ which in turn implies that $b \in P$, which is not the case. Therefore we have $u \in P$. Now $(b)u + (c)u + bv \in P$ implies that $bv \in P$ and therefore $v \in P$. Thus we have $g(x) \in P[x, \sigma, \delta]$.

Let $c \notin P$. Now $\sigma(a)u \in P$. Suppose $u \notin P$, then as above $a, \delta(a) \in P$. Now $\delta(a)u + \sigma(b)u + av \in P$ implies that $\sigma(b)u \in P$. Now $u \notin P$ implies that $\sigma(b) \in P$; i.e. $b, \delta(b) \in P$. Also $\delta(b)u + \sigma(c)u + bv \in P$ implies $\sigma(c)u \in P$ and therefore $\sigma(c) \in P$ which is not the case. Thus we have $u \in P$. Now $\delta(c)u + cv \in P$ implies $cv \in P$, and so $v \in P$. Therefore $g(x) \in P[x, \sigma, \delta]$. The remaining cases are now obvious. Using the same arguments, the result can be verified for $n \geq 3$ and $m \geq 2$ also.

(2) Let Q be a completely prime ideal of $R[x, \sigma, \delta]$. Suppose $a, b \in R$ are such that $ab \in Q \cap R$ with $a \notin Q \cap R$. This means that $a \notin Q$ as $a \in R$. Thus we have $ab \in Q \cap R \subseteq Q$, with $a \notin Q$. Therefore we have $b \in Q$, and thus $b \in Q \cap R$. □

The above discussion leads to the following question:

Is $\delta(Q \cap R) \subseteq Q \cap R$ in 2.4? If so, is $Q = (Q \cap R)[x, \sigma, \delta]$? The question remains to be answered, but in this connection we note that σ and δ can be extended to $R[x, \sigma, \delta]$ by taking $\sigma(x) = x$ and $\delta(x) = 0$. In other words, $\sigma(xa) = x\sigma(a)$ and $\delta(xa) = x\delta(a)$ for all $a \in R$.

Corollary 2.5. *Let R be a δ -rigid ring. Let σ be an automorphism of R and δ be a σ -derivation of R such that $\delta(P(R)) \subseteq P(R)$. Let $P \in \text{MinSpec}(R)$ be such that $\sigma(P) = P$. Then $P[x, \sigma, \delta]$ is a completely prime ideal of $R[x, \sigma, \delta]$.*

Proof. R is 2-primal by 2.3, and so by 2.2 $\delta(P) \subseteq P$. Further more P is a completely prime ideal of R by Proposition (1.11) of [9]. Now use 2.4. □

Theorem 2.6. *Let R be a δ -rigid ring. Let σ be an automorphism of R and δ be a σ -derivation of R such that $\delta(P(R)) \subseteq P(R)$ and $\sigma(P) = P$ for all $P \in \text{MinSpec}(R)$. Then $R[x, \sigma, \delta]$ is 2-primal if and only if $P(R)[x, \sigma, \delta] = P(R[x, \sigma, \delta])$.*

Proof. Let $R[x, \sigma, \delta]$ be 2-primal. Let $P \in \text{MinSpec}(R)$. By 2.5 $P[x, \sigma, \delta]$ is a completely prime ideal of $R[x, \sigma, \delta]$, and therefore $P(R[x, \sigma, \delta]) \subseteq P(R)R[x, \sigma, \delta]$. One may see Proposition (3.8) of [6] also. Let $f(x) = \sum x^j a_j \in P(R)[x, \sigma, \delta]$, $0 \leq j \leq n$. Now R is a 2-primal subring of $R[x, \sigma, \delta]$ by 2.3. This implies that a_j is nilpotent and thus $a_j \in N(R[x, \sigma, \delta]) = P(R[x, \sigma, \delta])$, and so we have $x^j a_j \in P(R[x, \sigma, \delta])$ for each j . Therefore $f(x) \in P(R[x, \sigma, \delta])$. Hence we have $P(R)[x, \sigma, \delta] = P(R[x, \sigma, \delta])$.

Conversely suppose $P(R)[x, \sigma, \delta] = P(R[x, \sigma, \delta])$. We will show that $R[x, \sigma, \delta]$ is 2-primal. Let $g(x) = \sum x^i b_i \in R[x, \sigma, \delta]$, $0 \leq i \leq n$ be such that $(g(x))^2 \in P(R[x, \sigma, \delta]) = P(R)[x, \sigma, \delta]$. Then by an easy induction and by using the fact that $P(R)$ is completely semiprime by 2.3, it can be easily seen that $b_i \in P(R)$ for all b_i , $0 \leq i \leq n$. This means that $f(x) \in P(R)[x, \sigma, \delta] = P(R[x, \sigma, \delta])$. Therefore $P(R[x, \sigma, \delta])$ is completely semiprime. Hence $R[x, \sigma, \delta]$ is 2-primal. \square

We now have some examples:

1. Let R be a Noetherian \mathbb{Q} -algebra satisfying the conditions of 2.6. Then $R[x, \sigma, \delta]$ is 2-primal.
2. Consider $R = Z_2 \oplus Z_2$. Then R is a commutative reduced ring. Define a map $\sigma : R \rightarrow R$ by $\sigma(a, b) = (b, a)$. Then σ is an automorphism of R . Now define a map $\delta : R \rightarrow R$ by $\delta(a, b) = (a-b, 0)$. Then δ is a σ -derivation of R . But R is not a δ -rigid ring, as $(0, 1)\delta(0, 1) = (0, 0)$.
3. Consider $R = (a_{ij})_{2,2}$, the set of all 2×2 matrices over the ring $n\mathbb{Z}$, $n > 1$ with $a_{21} = 0$. Define $\sigma : R \rightarrow R$ by $\sigma(a_{ij}) = (b_{ij})$, where $b_{ij} = a_{ij}$ except that $b_{12} = -a_{12}$. Then it can be seen that δ is an automorphism of R . Now define $\delta : R \rightarrow R$ by $\delta(a_{ij}) = (c_{ij})$, where $c_{ij} = 0$ except that $c_{12} = 2a_{12} + a_{22} - a_{11}$. Then it can be seen that δ is a σ -derivation of R . But R is not a δ -rigid ring, as for $A = (a_{ij})_{2,2}$, with $a_{ij} = 0$ except $a_{22} = 1$, $A\delta(A) = (0)$.

We finally have the following:

Remark 2.7. If σ is identity map, we get these results for the differential operator ring $R[x, \delta]$, and if δ is zero map, we get these results for the skew-polynomial ring $R[x, \sigma]$.

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