

# Birkhoff's HSP Theorem for Varieties of $(n, m)$ -Semigroups

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## Abstract

The work concerns varieties of  $(n, m)$ -semigroups in particular vector varieties of  $(n, m)$ -semigroups. We give a direct proof of Birkhoff's HSP theorem for varieties of  $(n, m)$ -semigroups and we find a corresponding HSP Theorem for vector varieties of  $(n, m)$ -semigroups (when  $m \geq 2$ ).

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## 1 Introduction

This work is a continuation of our results presented in [6] and [7]. An overview on vector valued structures and the development of the combinatorial theory within is given in [5], [6], [7]. Here we give definitions and basic results necessary for the rest of the text. For entering into details, refer to [5], [6] and [7].

Let  $Q \neq \emptyset$ ,  $n, m \in \mathbb{N}$  and  $n - m = k \geq 1$ . We denote by  $\mathbb{N}_0$  the set  $\mathbb{N} \cup \{0\}$ , and by  $\mathbb{N}_t$  and  $\mathbb{N}_{t,0}$  the sets  $\{1, 2, \dots, t\}$  and  $\{0, 1, 2, \dots, t\}$ ,  $t \in \mathbb{N}$ . If  $\mathbf{x} = (a_1, a_2, \dots, a_t) \in Q^t$ , we write  $\mathbf{x} = a_1^t$ , and we identify  $\mathbf{x}$  with the word  $a_1 a_2 \dots a_t$ . For such an  $\mathbf{x}$  we say that its length  $|\mathbf{x}|$  is  $t$ . The notation  $a_r^t$  where  $r > t$  is identified with the empty word, denoted by 1. Let  $Q^+$  be the union of

all the cartesian products  $Q^t$ ,  $t \in \mathbb{N}$ , which is the free semigroup generated by  $Q$ . We denote the set  $\{\mathbf{x} | \mathbf{x} \in Q^+, |\mathbf{x}| = m + sk, s \in \mathbb{N}\}$  by  $Q^{m,k}$ .

A map  $f : Q^n \rightarrow Q^m$  is called an  $(n, m)$ -operation on  $Q$ , and  $(Q, f)$  is called an  $(n, m)$ -groupoid. An  $(n, m)$ -groupoid  $(Q, f)$  is called an  $(n, m)$ -semigroup, if the  $(n, m)$ -operation is associative, i.e. if  $f(\mathbf{x}f(\mathbf{y})\mathbf{z}) = f(\mathbf{u}f(\mathbf{v})\mathbf{w})$ , for any  $\mathbf{xyz} = \mathbf{uvw} \in Q^{n+k}$ ,  $\mathbf{y}, \mathbf{v} \in Q^n$  and  $\mathbf{x}, \mathbf{z}, \mathbf{u}, \mathbf{w} \in Q^* = Q^+ \cup \{1\}$ . Let  $m \geq 2$ .

An  $(n, m)$ -groupoid  $(Q, f)$  can be considered as an algebra with  $m$   $n$ -ary operations  $f_1, f_2, \dots, f_m : Q^n \rightarrow Q$ , such that  $f(\mathbf{x}) = f_1(\mathbf{x})f_2(\mathbf{x}) \dots f_m(\mathbf{x})$  and  $f_1, f_2, \dots, f_m$  are called component operations for  $f$ . In general, for an associative  $(n, m)$ -operation  $f$ , the corresponding component operations do not have to be associative. A map  $g : Q^{m,k} \rightarrow Q^m$  is called a poly- $(n, m)$ -operation and the structure  $\mathbf{Q} = (Q, g)$  is called a poly- $(n, m)$ -groupoid. A poly- $(n, m)$ -groupoid  $\mathbf{Q} = (Q, g)$  is called a poly- $(n, m)$ -semigroup if  $g(\mathbf{x}g(\mathbf{y})\mathbf{z}) = g(\mathbf{xyz})$ , for each  $\mathbf{xyz} \in Q^{m,k}$ ,  $\mathbf{y} \in Q^{m,k}$  and  $\mathbf{x}, \mathbf{z} \in Q^*$ ,  $\mathbf{xz} \neq 1$ . There is no essential difference between the notions of  $(n, m)$ -semigroups and poly- $(n, m)$ -semigroups, due to the General Associative Law which holds in  $(n, m)$ -semigroups. (This is explained in [3], [4]). An  $(n, m)$ -semigroup  $\mathbf{G}$  is trivial if  $|G| = 1$ . A class of  $(n, m)$ -semigroups is non-trivial iff it contains non-trivial  $(n, m)$ -semigroup(s). Bellow we recall some preliminary results from universal algebra, related to a class of  $(n, m)$ -semigroups.

**Theorem 1.1** ([1]). If  $\mathbf{G}$  and  $\mathbf{G}'$  are  $(n, m)$ -semigroups and  $\varphi : G \rightarrow G'$  is an epimorphism then  $\mathbf{G}/\ker \varphi \cong \mathbf{G}'$ .

**Theorem 1.2** ([1]). Let  $\mathbf{G}$  and  $\mathbf{G}'$  are  $(n, m)$ -semigroups and  $\varphi : G \rightarrow G'$  is a homomorphism. If  $\alpha$  is a congruence on  $\mathbf{G}$  such that  $\alpha \subseteq \ker \varphi$ , then the map  $\psi : G/\alpha \rightarrow G'$  defined by  $\psi(x^\alpha) = \varphi(x)$  is a homomorphism and  $\psi \circ \text{nata} = \varphi$ . If  $\varphi$  is an epimorphism, then  $\psi$  is epimorphism.

**Definition 1.3** ([2]). Let  $\mathcal{K}$  be a nonempty class of  $(n, m)$ -semigroups and let  $B \neq \emptyset$ . We say that  $\mathbf{F} \in \mathcal{K}$  is a free  $(n, m)$ -semigroup in  $\mathcal{K}$  freely generated by  $B$  ( $\mathbf{F}$  is a free object in  $\mathcal{K}$  with basis  $B$ ) if the following conditions stand:

- 1) there exists a map  $i : B \rightarrow F$
- 2)  $\mathbf{F} = \langle i(B) \rangle$  i.e.  $\mathbf{F}$  is generated by the set  $i(B)$
- 3) for every  $\mathbf{G} \in \mathcal{K}$  and  $\xi : B \rightarrow G$ , there exists a homomorphism  $\bar{\xi} : \mathbf{F} \rightarrow \mathbf{G}$  such that  $\bar{\xi}i = \xi$ .

**Proposition 1.4** ([2]). If  $\mathbf{F}$  is a free object in an non-trivial class of  $(n, m)$ -semigroups  $\mathcal{K}$ , then the map  $i$  in Definition 1.3 is embedding.

**Proposition 1.5** ([2]). The homomorphism  $\bar{\xi}$  in Definition 1.3 is unique.

**Theorem 1.6** ([2]). Let  $B \neq \emptyset$ . If  $\mathcal{K}$  is a nonempty class of  $(n, m)$ -semigroups closed under the operations of taking  $(n, m)$ -subsemigroups and direct products, then  $\mathcal{K}$  contains a free  $(n, m)$ -semigroup with basis  $B$ .

The construction of a canonical form of a free poly- $(n, m)$ -groupoid  $\mathbf{F}(\mathbf{B}) = (F(B), f)$  with a basis  $B \neq \emptyset$  is given in [3], [4]. The construction of a canonical form of a free  $(n, m)$ -semigroup  $\psi_0(\mathbf{F}(\mathbf{B})) = (\psi_0(F(B)), [ \ ])$  generated by a nonempty set  $B$  is given in [4]. These constructions are presented in details in [5], [6], [7] and shall be consulted for deeper reading.

Given an  $(n, m)$ -semigroup  $(G, g)$  and a map  $\xi : B \rightarrow G$ , the unique homomorphism  $\bar{\xi} : (\psi_0(F(B)), [ \ ]) \rightarrow (G, g)$  is defined (in [6]) by:

$$\begin{aligned} \bar{\xi}(u) &= \xi(u), \text{ for } u \in B \\ \bar{\xi}(i, u_1^{m+sk}) &= g_i(\bar{\xi}(u_1) \dots \bar{\xi}(u_{m+sk})) \text{ for } (i, u_1^{m+sk}) \in \psi_0(F(B)) \setminus B, \text{ assuming} \\ &\text{that } \bar{\xi}(u_1), \dots, \bar{\xi}(u_{m+sk}) \text{ are already defined.} \end{aligned}$$

**Proposition 1.7.** ([3]) Every  $(n, m)$ -semigroup  $\mathbf{H}$  is a homomorphic image of a free  $(n, m)$ -semigroup  $\psi_0(\mathbf{F}(\mathbf{X}))$ , for an appropriately selected set  $X$ .

## 2 Varieties of $(n, m)$ -semigroups

Varieties of  $(n, m)$ -semigroups were defined in [3]. Significant results were given in [6] and [7]. Without entering into details, we recall the ones we need.

If  $\mathbf{F}(\mathbb{N})$  is a free poly- $(n, m)$ -groupoid with a basis  $\mathbb{N}$  and  $\mathbf{Q} = (Q, h)$  is a poly- $(n, m)$ -groupoid, for each  $\tau \in F(\mathbb{N})$  there exists a smallest  $t \in \mathbb{N}$  such that  $\tau \in F(\mathbb{N}_t)$  and  $\tau$  defines a  $t$ -ary operation on  $Q$  as follows:

- i) If  $\tau = j \in \mathbb{N}_t$  and  $\mathbf{a} = a_1^t \in Q^t$  then  $\tau(\mathbf{a}) = a_j$
- ii) If  $\tau = (i, \tau_1^{m+sk})$  and  $\mathbf{a} = a_1^t \in Q^t$  then  $\tau(\mathbf{a}) = h_i(\tau_1(\mathbf{a}) \dots \tau_{m+sk}(\mathbf{a}))$ , assuming that  $\tau_\nu(\mathbf{a})$  are already defined. Let  $\tau, \omega \in F(\mathbb{N})$ . Then  $\tau, \omega \in F(\mathbb{N}_t)$  for some  $t \in \mathbb{N}$ . A poly- $(n, m)$ -groupoid  $\mathbf{Q}$  satisfies the  $(n, m)$ -identity  $(\tau, \omega)$  if  $\tau(\mathbf{a}) = \omega(\mathbf{a})$  for an arbitrary  $\mathbf{a} = a_1^t \in Q^t$ . We denote this as  $\mathbf{Q} \models (\tau, \omega)$ . A class of  $(n, m)$ -semigroups  $\mathcal{V}$  is a variety iff there exists a set of  $(n, m)$ -identities  $\Theta$  such that  $\mathbf{G} \models \Theta$  for every every  $\mathbf{G} \in \mathcal{V}$  i.e.  $\mathbf{G} \models (\tau, \omega)$  for every  $(\tau, \omega) \in \Theta$  and every  $\mathbf{G} \in \mathcal{V}$ . We use the notation  $\mathcal{V} = Var\Theta$ . For an  $(n, m)$ -identity  $(\tau, \omega)$  we can always assume that  $\tau, \omega \in \psi_0(F(\mathbb{N}_t))$  for some  $t \in \mathbb{N}$  (see [3], [6]). The following result is proved in [6].

If  $\mathbf{H}$  is an  $(n, m)$ -semigroup,  $\tau \in \psi_0(F(\mathbb{N}_t))$ ,  $a_1^t \in H^t$ ,  $\xi : \mathbb{N} \rightarrow H$  is a map such that  $a_j = \xi(j)$ ,  $j \in \mathbb{N}_t$ , and  $\bar{\xi} : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \mathbf{H}$  is the homomorphic extension of  $\xi$ , then the  $t$ -ary operation on  $H$  defined by  $\tau$  satisfies

$$\tau(\mathbf{a}) = \bar{\xi}(\tau) \tag{1}$$

**Lemma 2.1** ([6]). If  $\mathbf{a} = a_1^t \in H^t$  and  $\bar{\xi} : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \mathbf{H}$  is the (unique) homomorphic extension of a map  $\xi : \mathbb{N} \rightarrow H$  such that  $a_j = \xi(j)$ ,  $j \in \mathbb{N}_t$ , then  $\tau(\mathbf{a}) = \omega(\mathbf{a})$  iff  $\bar{\xi}(\tau) = \bar{\xi}(\omega)$ .

**Proposition 2.2** ([6]). If  $\Theta$  is a set of  $(n, m)$ -identities,  $\mathbf{H} \models \Theta$  and  $\xi : \mathbb{N} \rightarrow H$  is a map, then  $\Theta \subseteq \ker \bar{\xi}$ , where  $\bar{\xi} : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \mathbf{H}$  is the homomorphic extension of  $\xi$ .

**Lemma 2.3** ([6]). Let  $\mathbf{H}$  and  $\mathbf{H}'$  are  $(n, m)$ -semigroups and let  $\varphi : \mathbf{H} \rightarrow \mathbf{H}'$  is a homomorphism. Then for every  $\tau \in \psi_0(F(\mathbb{N}_t))$  and  $a_1^t \in H^t$

$$\varphi(\tau(a_1 \dots a_t)) = \tau(\varphi(a_1) \dots \varphi(a_t)).$$

**Lemma 2.4** ([1]). Let  $\mathbf{H}$  and  $\mathbf{H}'$  are  $(n, m)$ -semigroups and  $\mathbf{G} = \mathbf{H} \times \mathbf{H}'$ . Then, for every  $\tau \in \psi_0(F(\mathbb{N}_t))$  and a sequence  $(a_1, a_1') \dots (a_t, a_t') \in G^t$

$$\tau((a_1, a_1') \dots (a_t, a_t')) = (\tau(a_1 \dots a_t), \tau(a_1' \dots a_t')).$$

In [6] we gave a description of the complete system of  $(n, m)$ -identities for a variety  $Var\Theta$  which we denote by  $\widehat{\Theta}$ , and we have showed the following

**Theorem 2.5** ([6]).  $\widehat{\Theta}$  is a complete system of  $(n, m)$ -identities for  $Var\Theta$ , consisting of all  $(n, m)$ -identities satisfied by all  $(n, m)$ -semigroups in  $Var\Theta$ .

**Proposition 2.6** ([6]).  $\psi_0(\mathbf{F}(\mathbb{N}))/\widehat{\Theta}$  is a free object in  $Var\Theta$  with basis  $\mathbb{N}$ .

**Corollary 2.7** ([6]). Let  $Var\Theta$  be a variety of  $(n, m)$ -semigroups. If  $\mathbf{H} \in Var\Theta$  and  $\xi : \mathbb{N} \rightarrow H$  is a map, then  $\widehat{\Theta} \subseteq \ker \bar{\xi}$ , where  $\bar{\xi} : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \mathbf{H}$  is the homomorphic extension of  $\xi$ .

Recall a definition of vector  $(n, m)$ -identities ([3], [7]):

Let  $p = m + sk$ ,  $q = m + rk$ , where  $s, r \geq 0$  and let  $(i_1^p, j_1^q) \in \mathbb{N}^+ \times \mathbb{N}^+$ . An  $(n, m)$ -semigroup  $\mathbf{G} = (G; g)$  satisfies the vector  $(n, m)$ -identity  $(i_1^p, j_1^q)$  (i.e.  $\mathbf{G} \models (i_1^p, j_1^q)$ ), if  $g(a_{i_1} \dots a_{i_p}) = g(a_{j_1} \dots a_{j_q})$  for  $a_1^t \in G^t$  where  $t = \max_{\mu, \nu} \{i_\mu, j_\nu\}$ .

Every vector  $(n, m)$ -identity  $(i_1^p, j_1^q)$  induces a set of  $(n, m)$ -identities  $(i_1^p, j_1^q)_\# \subseteq \psi_0(F(\mathbb{N})) \times \psi_0(F(\mathbb{N}))$  defined by  $(i_1^p, j_1^q)_\# = \{(i, i_1^p), (i, j_1^q) \mid i = \overline{1, m}\}$ . For an  $(n, m)$ -semigroup  $\mathbf{G}$  we have that  $\mathbf{G} \models (i_1^p, j_1^q) \iff \mathbf{G} \models (i_1^p, j_1^q)_\#$ . Consequently, if  $\Theta' \subseteq \mathbb{N}^+ \times \mathbb{N}^+$  is a set of vector  $(n, m)$ -identities (i.e.  $\Theta' = \{(i_1^p, j_1^q) \in \mathbb{N}^+ \times \mathbb{N}^+ \mid p = m + sk, q = m + rk, s, r \geq 0\}$ ), then it induces a set of  $(n, m)$ -identities  $\Theta'_\# \subseteq \psi_0(F(\mathbb{N})) \times \psi_0(F(\mathbb{N}))$ . Moreover, an arbitrary  $(n, m)$ -semigroup  $\mathbf{G}$  satisfies:  $\mathbf{G} \models \Theta' \iff \mathbf{G} \models \Theta'_\#$ .

**Definition 2.8** ([7]). A variety of  $(n, m)$ -semigroups  $\mathcal{V}$  is said to be a vector variety of  $(n, m)$ -semigroups, if there exists a set of vector  $(n, m)$ -identities  $\Theta'_\#$  such that  $\mathcal{V} = Var\Theta'_\#$ .

**Remark 2.9** ([7]).  $Var(i_1^m, j_1^m)_\#$  where  $i_1^m \neq j_1^m$  is the variety of trivial  $(n, m)$ -semigroups and  $Var(i_1^m, i_1^m)_\#$  is the variety of all  $(n, m)$ -semigroups.

**Proposition 2.10** ([7]). A variety of  $(n, m)$ -semigroups  $Var\Theta$  is a vector variety of  $(n, m)$ -semigroups iff there exists a set of vector  $(n, m)$ -identities  $\Theta'_\#$  such that  $\widehat{\Theta} = \widehat{\Theta'_\#}$ .

In [7] we showed that the class of vector varieties of  $(n, m)$ -semigroups is a proper subset of the class of varieties of  $(n, m)$ -semigroups (when  $m \geq 2$ ).

**Theorem 2.11** ([7]). A variety of  $(n, m)$ -semigroups  $Var\Theta$  is vector variety of  $(n, m)$ -semigroups iff there exists a nonempty set  $M \subseteq \widehat{\Theta}$  satisfying:

- 1) If  $(\tau, \omega) \in M$ , there exist some  $j \in \mathbb{N}_m$  and  $\mathbf{w}, \mathbf{z} \in \mathbb{N}^m \cup \mathbb{N}^{m,k}$  such that  $\tau = (j, \mathbf{w})$ ,  $\omega = (j, \mathbf{z})$  and  $((i, \mathbf{w}), (i, \mathbf{z})) \in M$  for all  $i \in \mathbb{N}_m$ ;
- 2)  $\widehat{\Theta} \subseteq \widehat{M}$ .

**Theorem 2.12** ([7]). Necessary and sufficient conditions for a variety of  $(n, m)$ -semigroups  $Var\Theta$  to be a vector variety  $Var\Theta'_{\#}$  such that all nontrivial  $(i_1^p, j_1^q) \in \Theta'$  have lengths  $p, q > m$ , are:

- 1) If  $(\tau, \omega) \in \widehat{\Theta} \cap \mathbb{N}_{(1)}^2$  then  $\tau = (j, \mathbf{w})$  and  $\omega = (j, \mathbf{z})$  for some  $j \in \mathbb{N}_m$ ,  $\mathbf{w}, \mathbf{z} \in \mathbb{N}^m \cup \mathbb{N}^{m,k}$ , and  $((i, \mathbf{w}), (i, \mathbf{z})) \in \widehat{\Theta} \cap \mathbb{N}_{(1)}^2$  for all  $i \in \mathbb{N}_m$ ;
- 2)  $\widehat{\Theta} \subseteq \widehat{\widehat{\Theta} \cap \mathbb{N}_{(1)}^2}$ .

### 3 Birkhoff's HSP theorem for varieties of $(n, m)$ -semigroups

The problem of finding a corresponding Birkhoff's - HSP theorem for vector varieties of  $(n, m)$ -semigroups (when  $m \geq 2$ ), was introduced in 1980, by acad. Ā. Čupona. We believe that our work gives a satisfactory answer.

**Theorem 3.1.** A class of  $(n, m)$ -semigroups is a variety of  $(n, m)$ -semigroups iff it is non-empty and closed under the operations of taking  $(n, m)$ -subsemi-groups, homomorphic images and direct products.

*Proof.* ( $\implies$ ). Let  $\mathcal{V} = Var\Theta$  be a variety of  $(n, m)$ -semigroups. Proposition 2.6 implies that  $\psi_0(\mathbf{F}(\mathbb{N}))/\widehat{\Theta} \in \mathcal{V}$  and thus  $\mathcal{V} \neq \emptyset$ . If  $\mathbf{G} \in \mathcal{V}$  then  $\mathbf{G} \models \Theta$ , and if  $(\tau, \omega) \in \Theta$  we have  $(\tau, \omega) \in \psi_0(F(\mathbb{N}_t))$  (for some  $t \in \mathbb{N}$ ). Now, if  $\mathbf{H}$  is  $(n, m)$ -subsemigroup of  $\mathbf{G}$ , we have  $\tau(a_1^t) = \omega(a_1^t)$  for all  $a_1^t \in H^t \subseteq G^t$ . Hence,  $\mathbf{H} \models \Theta$  and therefore  $\mathbf{H} \in \mathcal{V}$ . If  $\mathbf{G} \in \mathcal{V}$  and  $\varphi : \mathbf{G} \rightarrow \mathbf{G}'$  is a homomorphism from  $\mathbf{G}$  to some  $(n, m)$ -semigroup  $\mathbf{G}'$ , then  $\varphi(\mathbf{G})$  is  $(n, m)$ -subsemigroup of  $\mathbf{G}'$ . Moreover, for  $(\tau, \omega) \in \Theta$  and  $a'_1 \dots a'_t = \varphi(a_1) \dots \varphi(a_t) \in \varphi(G)^t$ , applying Lemma 2.3 we obtain:  $\tau(a'_1 \dots a'_t) = \tau(\varphi(a_1) \dots \varphi(a_t)) = \varphi(\tau(a_1 \dots a_t)) = \varphi(\omega(a_1 \dots a_t)) = \omega(\varphi(a_1) \dots \varphi(a_t)) = \omega(a'_1 \dots a'_t)$ . Thus,  $\varphi(\mathbf{G}) \models (\tau, \omega)$  and we conclude that  $\varphi(\mathbf{G}) \models \Theta$  i.e.  $\varphi(\mathbf{G}) \in \mathcal{V}$ . Assume that  $\mathbf{G}, \mathbf{H} \in \mathcal{V}$  and consider the direct product  $\mathbf{P} = \mathbf{G} \times \mathbf{H}$ . Since the  $(n, m)$ -operation on  $P$  is defined via corresponding operations in  $\mathbf{G}$  and  $\mathbf{H}$ , it is clear that  $\mathbf{P}$  is  $(n, m)$ -semigroup. Also, for  $(\tau, \omega) \in \Theta$  and  $(a_1, a'_1) \dots (a_t, a'_t) \in P^t$ , we have  $\tau(a_1 \dots a_t) = \omega(a_1 \dots a_t)$ ,  $\tau(a'_1 \dots a'_t) = \omega(a'_1 \dots a'_t)$ , and applying Lemma 2.4 we obtain:  $\tau((a_1, a'_1) \dots (a_t, a'_t)) = (\tau(a_1 \dots a_t), \tau(a'_1 \dots a'_t)) = (\omega(a_1 \dots a_t), \omega(a'_1 \dots a'_t)) = \omega((a_1, a'_1) \dots (a_t, a'_t))$ . Hence,  $\mathbf{P} \models (\tau, \omega)$ . This is true for all  $(\tau, \omega) \in \Theta$  and therefore  $\mathbf{P} \models \Theta$  i.e.  $\mathbf{P} \in \mathcal{V}$ .

( $\Leftarrow$ ). If  $\mathcal{V}$  is the class of trivial  $(n, m)$ -semigroups, then  $\mathcal{V} = \text{Var}\{(l, j)\}$  for  $l, j \in \mathbb{N}$ ,  $l \neq j$ , and the theorem is proved. Assume that  $\mathcal{V}$  is non-trivial. Then  $\mathcal{V}$  contains a free  $(n, m)$ -semigroup  $\mathbf{F}$  with a basis  $\mathbb{N}$  (Theorem 1.6) and we can take  $\mathbb{N} \subseteq F$  (by Proposition 1.4). On the other hand, being  $\psi_0(\mathbf{F}(\mathbb{N}))$  the free  $(n, m)$ -semigroup with basis  $\mathbb{N}$ , the identity map  $i : \mathbb{N} \rightarrow \mathbb{N}$ , can be (uniquely) extended to a homomorphism  $\bar{i} : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \mathbf{F}$ . Define a set

$$\Sigma = \ker \bar{i} = \{(\tau, \omega) \in \psi_0(F(\mathbb{N})) \times \psi_0(F(\mathbb{N})) \mid \bar{i}(\tau) = \bar{i}(\omega)\} \quad (2)$$

Let  $\mathcal{U} = \text{Var}\Sigma$ . We will show that  $\mathcal{V} = \mathcal{U}$ . Let  $\mathbf{A} \in \mathcal{V}$ ,  $(\tau, \omega) \in \Sigma$ ,  $((\tau, \omega) \in \psi_0(F(\mathbb{N}_t)), t \in \mathbb{N})$ , and  $a_1^t \in A^t$ . Consider a map  $\varsigma : \mathbb{N} \rightarrow A$  such that  $\varsigma(j) = a_j$ ,  $j = \overline{1, t}$ . There exists a (unique) homomorphism  $\bar{\varsigma} : \mathbf{F} \rightarrow \mathbf{A}$  such that  $\bar{\varsigma}|_{\mathbb{N}} = \varsigma$ , and thus  $a_j = \bar{\varsigma}(j)$ ,  $j = \overline{1, t}$ . On the other hand,  $\bar{i}(\tau) = \bar{i}(\omega)$  and applying (1) (i.e. Lemma 2.1) we get  $\tau(1 \dots t) = \bar{i}(\tau) = \bar{i}(\omega) = \omega(1 \dots t)$ . Now by Lemma 2.3 we obtain:  $\tau(a_1 \dots a_t) = \tau(\bar{\varsigma}(1) \dots \bar{\varsigma}(t)) = \bar{\varsigma}(\tau(1 \dots t)) = \bar{\varsigma}(\omega(1 \dots t)) = \omega(\bar{\varsigma}(1) \dots \bar{\varsigma}(t)) = \omega(a_1 \dots a_t)$ . Hence,  $\mathbf{A} \models (\tau, \omega)$ . The same is true for all  $(n, m)$ -identities in  $\Sigma$ . Thus,  $\mathbf{A} \in \mathcal{U}$  and we conclude that  $\mathcal{V} \subseteq \mathcal{U}$ . Assume now that  $\mathbf{A} \in \mathcal{U} = \text{Var}\Sigma$ . Proposition 1.7 implies that  $\mathbf{A}$  is homomorphic image of a free  $(n, m)$ -semigroup  $\psi_0(\mathbf{F}(Y))$  for a corresponding set  $Y$  such that  $\mathbf{A} = \langle Y \rangle$ . Let  $\Pi : \psi_0(\mathbf{F}(Y)) \rightarrow \mathbf{A}$  be the homomorphic extension of the identity map  $1_Y$ , and let  $t : \mathbb{N} \rightarrow Y$  be a map. There exist unique homomorphisms  $T' : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \psi_0(\mathbf{F}(Y))$  and  $T'' : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \mathbf{A}$  such that  $T'|_{\mathbb{N}} = t$  and  $T''|_{\mathbb{N}} = t$ . The composition  $\Pi T' : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \mathbf{A}$  is a homomorphism such that  $(\Pi T')|_{\mathbb{N}} = T'|_{\mathbb{N}} = t = T''|_{\mathbb{N}}$ . But  $T''$  is unique (Proposition 1.5), and thus  $\Pi T' = T''$ . Moreover, since  $\mathbf{A} \models \Sigma$  and  $t : \mathbb{N} \rightarrow A$ , Proposition 2.2 implies that  $\Sigma \subseteq \ker(\Pi T')$ . Hence,  $\ker \bar{i} \subseteq \ker(\Pi T')$ . Let now  $\mathbf{G}_Y$  be a free  $(n, m)$ -semigroup in  $\mathcal{V}$  with basis  $Y$ . The fact that  $\mathcal{V}$  is non-trivial allow us to take  $Y \subseteq G_Y$  i.e.  $\mathbf{G}_Y = \langle Y \rangle$  (by Proposition 1.4). Since  $\psi_0(\mathbf{F}(Y))$  is a free  $(n, m)$ -semigroup with basis  $Y$ , there exists a unique homomorphism  $\Psi : \psi_0(\mathbf{F}(Y)) \rightarrow \mathbf{G}_Y$  which is an extension of the identity map  $1_Y : Y \rightarrow Y$ . On the other hand, since  $\mathbf{G}_Y$  is a free  $(n, m)$ -semigroup in  $\mathcal{V}$  with basis  $Y$ , every  $f : Y \rightarrow \mathbb{N} \subseteq F$  can be uniquely extended to a homomorphism  $L : \mathbf{G}_Y \rightarrow \mathbf{F}$ . Now, the composition  $L \Psi T' : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \mathbf{F}$  is a homomorphism, and for a carefully chosen map  $f$ , we will obtain that  $\ker(L \Psi T') \subseteq \ker(\Pi T')$ , which implies  $\ker(\Psi T') \subseteq \ker(\Pi T')$ . This holds for the homomorphic extension  $T' : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \psi_0(\mathbf{F}(Y))$  of an arbitrary map  $t : \mathbb{N} \rightarrow Y$ , and thus it will follow that  $\ker \Psi \subseteq \ker \Pi$ . (Namely, for  $(x, z) \in \ker \Psi \subseteq \psi_0(F(Y)) \times \psi_0(F(Y))$ , there exist an appropriate  $t : \mathbb{N} \rightarrow Y$  which has a homomorphic extension  $T' : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \psi_0(\mathbf{F}(Y))$  such that  $x = T'(u)$  and  $z = T'(v)$  for some  $u, v \in \psi_0(F(\mathbb{N}))$ . Since  $\Psi T'(u) = \Psi T'(v)$ , we have  $(u, v) \in \ker(\Psi T')$  which implies that  $(u, v) \in \ker(\Pi T')$  i.e.  $\Pi T'(u) = \Pi T'(v)$  and therefore  $\Pi(x) = \Pi(z)$ , so  $\ker \Psi \subseteq \ker \Pi$ ). Being  $\Pi$  and  $\Psi$  homomorphic extensions of  $1_Y$ , we have  $Y \subseteq \Pi(\psi_0(\mathbf{F}(Y)))$  and  $Y \subseteq \Psi(\psi_0(\mathbf{F}(Y)))$ , and being  $\mathbf{A} = \langle Y \rangle$  and

$\mathbf{G}_Y = \langle Y \rangle$ , we obtain  $\mathbf{A} = \Pi(\psi_0(\mathbf{F}(Y)))$  and  $\mathbf{G}_Y = \Psi(\psi_0(\mathbf{F}(Y)))$ . Hence,  $\Pi$  and  $\Psi$  are epimorphisms. Theorem 1.1 implies that  $\psi_0(\mathbf{F}(Y))/\ker \Pi \cong \mathbf{A}$  and  $\psi_0(\mathbf{F}(Y))/\ker \Psi \cong \mathbf{G}_Y$ , and from the fact that  $\mathbf{G}_Y \in \mathcal{V}$  we obtain  $\psi_0(\mathbf{F}(Y))/\ker \Psi \in \mathcal{V}$ . On the other hand, Theorem 1.2 implies existence of a homomorphism  $\sigma : \psi_0(\mathbf{F}(Y))/\ker \Psi \rightarrow \mathbf{A}$ , and  $\sigma$  will be epimorphism, since  $\Pi$  is an epimorphism. Therefore,  $\mathbf{A} = \sigma(\psi_0(\mathbf{F}(Y))/\ker \Psi)$ , where  $\psi_0(\mathbf{F}(Y))/\ker \Psi \in \mathcal{V}$  so we conclude that  $\mathbf{A} \in \mathcal{V}$ . Hence,  $\mathcal{U} \subseteq \mathcal{V}$ .  $\square$

**Remark 3.2.** The set  $\Sigma = \ker \bar{i}$  defined by (2) is a complete system of  $(n, m)$ -identities for  $\mathcal{V} = \text{Var} \Sigma$ : By Corollary 2.7 we have  $\widehat{\Sigma} \subseteq \ker \bar{i}$ , and thus  $\widehat{\Sigma} \subseteq \Sigma$ , which implies  $\widehat{\Sigma} = \Sigma$ . Also,  $\psi_0(\mathbf{F}(\mathbb{N}))/\ker \bar{i}$  is a free  $(n, m)$ -semigroup in  $\mathcal{V}$  with basis  $\mathbb{N}$  (by Proposition 2.6).

We are looking for a corresponding Birkhoff's - HSP Theorem' for vector varieties of  $(n, m)$ -semigroups. For that purpose, we consider non-trivial classes of  $(n, m)$ -semigroups (Remark 2.9).

**Theorem 3.3.** A non-trivial class  $\mathcal{V}$  of  $(n, m)$ -semigroups is a vector variety of  $(n, m)$ -semigroups iff  $\mathcal{V}$  is closed under the operations of taking  $(n, m)$ -subsemigroups, homomorphic images and direct products, and the homomorphic extension  $\bar{i} : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \mathbf{F}$  of the identity map  $i : \mathbb{N} \rightarrow \mathbb{N}$ , where  $\mathbf{F}$  is the free  $(n, m)$ -semigroup in  $\mathcal{V}$  with basis  $\mathbb{N} \subseteq F$ , satisfies the condition:  $(\exists M \neq \emptyset)$  such that  $M \subseteq \ker \bar{i} \subseteq \widehat{M}$  and  $M = \bigcup_{(\mathbf{w}, \mathbf{z})} \{((i, \mathbf{w}), (i, \mathbf{z})) | i = \overline{1, m}\}$

for some pairs of elements  $(\mathbf{w}, \mathbf{z})$  such that  $\mathbf{w}, \mathbf{z} \in \mathbb{N}^m \cup \mathbb{N}^{m,k}$ .

*Proof.* ( $\Leftarrow$ ). Let  $\mathcal{V}$  be a non-trivial class of  $(n, m)$ -semigroups that is closed under the operations of taking  $(n, m)$ -subsemigroups, homomorphic images and direct products. By Theorem 1.6, Proposition 1.4 and Proposition 1.5 we have that  $\mathcal{V}$  contains a free  $(n, m)$ -semigroup  $\mathbf{F}$  with basis  $\mathbb{N}$  such that  $\mathbb{N} \subseteq F$ , and, the identity map  $i : \mathbb{N} \rightarrow \mathbb{N}$  has a unique homomorphic extension  $\bar{i} : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \mathbf{F}$ . Assume that  $\ker \bar{i}$  satisfies the condition above. By Theorem 3.1 and its proof we have that  $\mathcal{V} = \text{Var} \ker \bar{i}$ . Moreover,  $\ker \bar{i}$  is a complete system of  $(n, m)$ -identities for  $\mathcal{V}$  (by Remark 3.2) and  $\widehat{\ker \bar{i}} = \ker \bar{i}$  (by Theorem 2.5). Therefore,  $\text{Var} \ker \bar{i}$  satisfies the conditions given in Theorem 2.11, and thus we conclude that  $\mathcal{V}$  is a vector variety of  $(n, m)$ -semigroups.

( $\Rightarrow$ ). If  $\mathcal{V}$  is a non-trivial class of  $(n, m)$ -semigroups which is a vector variety of  $(n, m)$ -semigroups, there exists a set of vector  $(n, m)$ -identities  $\Theta'_\#$  such that  $\mathcal{V} = \text{Var} \Theta'_\#$ . Theorem 3.1 implies that  $\mathcal{V}$  is closed under the operations of taking  $(n, m)$ -subsemigroups, homomorphic images and direct products. Proposition 2.6 implies that  $\mathcal{V}$  contains a free  $(n, m)$ -semigroup with basis  $\mathbb{N}$  which is the factor  $(n, m)$ -semigroup  $\psi_0(\mathbf{F}(\mathbb{N}))/\widehat{\Theta'_\#}$ . Moreover, the class  $\mathcal{V}$  is non-trivial and thus  $j^{\widehat{\Theta'_\#}} = \{j\}, j \in \mathbb{N}$ , so we can take

$\mathbb{N} \subseteq \psi_0(\mathbf{F}(\mathbb{N}))/\widehat{\Theta}'_{\#}$ . Now, for the the corresponding homomorphic extension  $\bar{i} : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \psi_0(\mathbf{F}(\mathbb{N}))/\widehat{\Theta}'_{\#}$  of the identity map  $i : \mathbb{N} \rightarrow \mathbb{N}$ , it follows that  $\bar{i} = \text{nat}\widehat{\Theta}'_{\#}$  (from  $(\text{nat}\widehat{\Theta}'_{\#})|_{\mathbb{N}} = i$  and the uniqueness of  $\bar{i}$ .) Thus, we obtain that  $\ker \bar{i} = \ker(\text{nat}\widehat{\Theta}'_{\#})$  and therefore  $\ker \bar{i} = \widehat{\Theta}'_{\#}$ . Applying Theorem 2.11 it follows that  $\widehat{\Theta}'_{\#}$  satisfies the condition above, so the same is true for  $\ker \bar{i}$ .  $\square$

**Theorem 3.4.** A non-trivial class  $\mathcal{V}$  of  $(n, m)$ -semigroups is a vector variety of  $(n, m)$ -semigroups  $\text{Var}\Theta'_{\#}$  such that all nontrivial  $(i_1^p, j_1^q) \in \Theta'$  have lengths  $p, q > m$  iff  $\mathcal{V}$  is closed under the operations of taking  $(n, m)$ -subsemigroups, homomorphic images and direct products, and the homomorphic extension  $\bar{i} : \psi_0(\mathbf{F}(\mathbb{N})) \rightarrow \mathbf{F}$  of the identity map  $i : \mathbb{N} \rightarrow \mathbb{N}$  where  $\mathbf{F}$  is the free  $(n, m)$ -semigroup in  $\mathcal{V}$  with basis  $\mathbb{N} \subseteq F$ , satisfies the conditions:

- 1)  $\ker \bar{i} \cap \mathbb{N}_{(1)}^2 = \bigcup_{(\mathbf{w}, \mathbf{z})} \{((i, \mathbf{w}), (i, \mathbf{z})) \mid i = \overline{1, m}\}$  for some pairs of elements  $(\mathbf{w}, \mathbf{z})$  such that  $\mathbf{w}, \mathbf{z} \in \mathbb{N}^m \cup \mathbb{N}^{m, k}$
- 2)  $\ker \bar{i} \subseteq (\ker \bar{i} \cap \mathbb{N}_{(1)}^2)$ .

*Proof.* Completely analogical as Theorem 3.3, applying Theorem 2.12.  $\square$

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