

Coefficient Modules and Rees Polynomials of Arbitrary Modules

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Abstract

Let (R, \mathfrak{m}) be a Noetherian local ring with maximal ideal \mathfrak{m} , $G = \bigoplus_{n \geq 0} G_n$ a standard graded algebra with $D := \dim(\text{Proj}(G))$ and I a R -submodule of G_1 . We use the degree of Rees polynomial of I to show that coefficient modules exist in general case for standard graded algebras and for R -submodules E of R^p over a Noetherian local ring R .

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1 Introduction

Let (R, \mathfrak{m}) be a commutative Noetherian local ring of Krull dimension d . Let I be an \mathfrak{m} -primary ideal of R . From a classical result of Samuel in [9] the length of R/I^n is a polynomial in n of degree d , for all large n . This polynomial is called the Hilbert-Samuel polynomial of I and can be written in the form:

$$\sum_{i=0}^d (-1)^i e_i(I, R) \binom{n + d - i - 1}{d - i},$$

where $e_i(I, R)$ are the i -th Hilbert-Samuel coefficient of I , for $i = 1, \dots, d$. The integer $e_0(I, R)$ is the classical Hilbert-Samuel multiplicity of I .

Recall that an ideal $J \subset I$ is called a reduction of I if $JI^n = I^{n+1}$ for some positive integer n . The Rees multiplicity theorem asserts that if R is quasi-unmixed local ring then $J \subset I$ is a reduction of I if and only if $e_0(I, R) = e_0(J, R)$ (more details see [7]).

Let $J \subset I$ ideals of R and $\ell(\frac{I}{J}) < \infty$. Amao [1] showed that the numerical function $H(\frac{I}{J}, n) := \ell(\frac{I^n}{J^n})$ is a polynomial function of degree at most d . We call $H(\frac{I}{J}, n)$ the Rees function of the pair (J, I) and the corresponding polynomial $P(\frac{I}{J}, n)$, the Rees polynomial of the pair (J, I) . The Rees multiplicity theorem has been generalized for ideal that are not \mathfrak{m} -primary by a number authors. In [7] Rees showed that (R, \mathfrak{m}) is quasi-unmixed then $J \subset I$ is reduction of I if and only if $\deg(P(\frac{I}{J}, n)) < d$. It is natural to ask for limiters to the degree of $\deg(P(\frac{I}{J}, n)) < d$. In the case I and J are \mathfrak{m} -primary it is easy to see that $\deg(P(\frac{I}{J}, n)) < d - k$ if and only if $e_i(I, R) = e_i(J, R)$ for $i = 0, \dots, k$ for all $k = 0, \dots, d$.

Shah proved in [8] the existence of unique largest ideals $I_{\{k\}}^R$, for $0 \leq k \leq d$ between the ideals I and \bar{I} such that $I_{\{k\}}^R$ preserves the first $k + 1$ Hilbert-Samuel coefficients of I , i.e., there exists ideals $I_{\{k\}}^R$ which contain the ideal I such that $I \subset I_{\{d\}}^R \subset \dots \subset I_{\{1\}}^R \subset I_{\{0\}}^R = \bar{I}$ and $e_i(I, R) = e_i(I_{\{k\}}^R, R)$, for $i = 0, \dots, k$. The ideal $I_{\{k\}}^R$ is called k -th coefficient ideal of I . Shah also determined a colon ideal structure for each coefficient ideal $I_{\{k\}}^R$ of I as follows, $I_{\{k\}}^R = (I^{n_0+1} : x_1, \dots, x_k)$, for $k = 1, \dots, d$, some fixed $n_0 \geq 1$ and some fixed minimal reduction $\{x_1, \dots, x_d\}$ of I^{n_0} . Herzog-Puthenpurakal-Verma, proved in [4], that coefficient ideals exist even in the general case. Computation of coefficient ideals seems to be a difficult problem at present.

On the other hand, Liu in [6] partially extended the work of Shah (*loc.cit.*) for finitely generated torsion free modules E over a Noetherian integral domain R , contained in a free R -module F . Suppose that F/E has finite length as an R -module, then Liu defined the coefficients modules of E in F and also proved their existence and uniqueness when R is formally equidimensional. Thus, she partially extended the work of Shah (*loc.cit.*) but she didn't give the colon structure of this modules which in the ideal case is one of the main results in the work of Shah (*loc.cit.*).

In [3], they extend the aforementioned results about the existence of Shah and Liu for standard graded algebras and as consequence is proved that coefficient modules exist, for R -submodules E of R^p over a Noetherian local ring R . In this work is extended the result of Herzog-Puthenpurakal-Verma (*loc.cit.*) and showed that coefficient modules exists for standard graded algebras and for R -submodules E of R^p over a Noetherian local ring R .

2 Coefficient modules for graded algebras

Let (R, \mathfrak{m}) be a Noetherian local ring with maximal ideal \mathfrak{m} , $G = \bigoplus_{n \geq 0} G_n$ a standard graded algebra with $D := \dim(\text{Proj}(G))$ and I a R -submodule of G_1 , Then Kleiman-Thorup proved in [5, Theorem 5.7] that the length function $\ell_R(G_n/I^n)$ is a polynomial $P_{(I,G)}(n)$ of degree, at most, D , for all large n . This polynomial is called Buchsbaum-Rim polynomial of (I, G) . We write $P_{(I,G)}(n)$ in the form:

$$P_G(I, n) = \sum_{0 \leq i \leq D} (-1)^i e_i(I, G) \binom{n + D - 1 - i}{D - i} \quad (1)$$

call $e_i(I, G)$ the i -th **Buchsbaum-Rim coefficient** of (I, G) , $i = 0, \dots, D$, and the integer $e_0(I, G)$ is the Buchsbaum-Rim multiplicity of (I, G) . This multiplicity was first introduced and studied by Buchsbaum-Rim in [2] but in this context it was first studied in [7] and [5].

We say that an R -submodule J of G_1 is a reduction of I if there exist an integer n such that $JJ^n = I^{n+1}$. In this way, the *integral closure* of I in G_1 is the largest submodule \bar{I} of G_1 having I as a reduction (see [10, page 416]). Assume that the local ring (R, \mathfrak{m}) is formally equidimensional, then by a result of [5, Proposition 6.3] and [7, Theorem 4.11] the integral closure \bar{I} of I in G_1 is the largest submodule of G_1 which contains I and have the same Buchsbaum-Rim multiplicity as I . The integral closure \bar{I} of I is well known to be an intersection of certain valuation modules (see [10, page 418, Remark 8.9]). In [3] prove the existence of a unique chain of modules between I and \bar{I} , called the coefficient modules of I and we find their colon structure. An important result was proved by Kleiman-Thorup in [5] and states that considering an R -algebra $G = \bigoplus_{n \geq 0} G_n$ formally equidimensional with $D := \dim(\text{Proj}(G))$, $I \subseteq K$ two R -submodules of G_1 . Then $\deg(P_G(\frac{K}{I}, n)) < D$ if and only if I is a reduction of K . This result generalize the Amao's theorem for ideals and for modules, see [1].

3 Existence of coefficient modules

Let $\mathcal{M} = \mathfrak{m} \oplus G_+$ the maximal ideal of G , where $G_+ = \bigoplus_{n \geq 1} G_n$ is the maximal homogenous ideal of G . Let I a R -submodule of G_1 and we denoted by \mathcal{I} the ideal of G generated by the R -submodule I . If K is another R -submodule of G_1 we say that I is a reduction of K if \mathcal{I} is a reduction of \mathcal{K} , where \mathcal{K} is the homogenous ideal generated by K . Observe that \mathcal{I} is an homogenous ideal and we define the integral closure of I as the linear part of the integral closure of \mathcal{I} as an ideal of G , i.e., $\bar{I} := [\bar{\mathcal{I}}]_1$.

If R is a local ring with maximal ideal \mathfrak{m} , we define the maximal ideal of G as $\mathcal{M} = \mathfrak{m} \oplus G_+$, where $G_+ = \bigoplus_{n > 0} G_n$.

The fiber cone of I and the fiber cone of \mathcal{I} are, respectively,

$$\mathcal{F}(I) := \bigoplus_{n \geq 0} \frac{\mathcal{R}_n(I)}{\mathfrak{m}\mathcal{R}_n(I)} \text{ and } \mathcal{F}(\mathcal{I}) := \bigoplus_{n \geq 0} \frac{\mathcal{R}_n(\mathcal{I})}{\mathcal{M}\mathcal{R}_n(\mathcal{I})}.$$

The analytic spread of I , $s(I)$, and the analytic spread of \mathcal{I} , $s(\mathcal{I})$, are defined by the Krull dimension of the quotient rings given below:

$$s(I) := \dim(\mathcal{F}(I)) \text{ and } s(\mathcal{I}) := \dim(\mathcal{F}(\mathcal{I})).$$

In [4], they defined the saturation and the relative integral closure associated with an ideal \mathfrak{a} of a Noetherian local ring (R, \mathfrak{m}) in the following way:

$$\mathfrak{a}^{\text{sat}} = \bigcup_{n \geq 1} (\mathfrak{a} : \mathfrak{m}^n) = \{x \in R \mid x\mathfrak{m}^n \subseteq \mathfrak{a}, \text{ for some } n \geq 0\}$$

is the the saturation of \mathfrak{a} and the relative integral closure of \mathfrak{a} is defined by

$$q(\mathfrak{a}) = \bar{\mathfrak{a}} \cap \mathfrak{a}^{\text{sat}}.$$

Note that as R is a Noetherian ring the saturation and the relative integral closure of an ideal \mathfrak{a} are ideals which contains \mathfrak{a} .

Definition 3.1 (Relative integral closure of a module). *Let I be a R -submodule of G_1 . We defined the saturation of I by $I^{\text{sat}} = [\mathcal{I}^{\text{sat}}]_1$ where*

$$\mathcal{I}^{\text{sat}} = \bigcup_{n \geq 1} (\mathcal{I} : \mathcal{M}^n) = \{x \in G \mid x\mathcal{M}^n \subseteq \mathcal{I}, \text{ for some } n \geq 0\}$$

and the relative integral closure of I by $q(I) = \bar{I} \cap I^{\text{sat}}$.

In this case, we have

$$I^{\text{sat}} = \left[\bigcup_{n \geq 1} (\mathcal{I} : \mathcal{M}^n) \right]_1 = [\{x \in G \mid x\mathcal{M}^n \subseteq \mathcal{I}, \text{ for some } n \geq 0\}]_1$$

and

$$q(I) = \bar{I} \cap I^{\text{sat}} = [\bar{I}]_1 \cap [\mathcal{I}^{\text{sat}}]_1 = [\bar{I} \cap \mathcal{I}^{\text{sat}}]_1.$$

We can easily see that $q(I) = [q(\mathcal{I})]_1$.

Proposition 3.2. *Let (R, \mathfrak{m}) be a Noetherian local ring, $G = \bigoplus_{n \geq 0} G_n$ a standard graded algebra and I a R -submodule of G_1 . Then*

1. I is a reduction of $q(I)$ and $\ell_R\left(\frac{q(I)}{I}\right) < \infty$.

2. If I is a reduction of K with $\ell_R\left(\frac{K}{I}\right) < \infty$ then $K \subseteq q(I)$.
3. If $J \subseteq I$ then $q(J) \subseteq q(I)$.
4. $q(q(I)) = q(I)$.

Proof. We have just to remember that all this results are valid for ideals, see [4].

1. We know that \mathcal{I} is a reduction of $q(\mathcal{I})$, then $[\mathcal{I}]_1$ is a reduction of $[q(\mathcal{I})]_1$, but we know that $[q(\mathcal{I})]_1 = q(I)$.
2. If I is a reduction of J then \mathcal{I} is a reduction of \mathcal{J} , by [4, Proposition 4.3, pg. 635] since $\ell_R\left(\frac{\mathcal{J}}{\mathcal{I}}\right) < \infty$ we have $\mathcal{J} \subseteq q(\mathcal{I})$ thus $J = [\mathcal{J}]_1 \subseteq [q(\mathcal{I})]_1 = q(I)$.
3. If $K \subseteq I$ then $\mathcal{K} \subseteq \mathcal{I}$ and $q(\mathcal{K}) \subseteq q(\mathcal{I})$.
4. If $q(I)$ is a reduction of K with $\ell_R\left(\frac{K}{q(I)}\right) < \infty$ then note that K is also a reduction of I , since I is a reduction of $q(I)$ and also $\ell_R\left(\frac{K}{I}\right) < \infty$. By 2. we have $K \subseteq q(I)$ thus $K = I$. Take $K = q(q(I))$ and we get the desired result.

□

We know that if (R, \mathfrak{m}) is a Noetherian local ring, $M = \bigoplus_{n \geq 0} M_n$ is a graded R -module finitely generated and $\ell_R(M_n) < \infty$, for all $n \geq 0$, there exists an $r > 0$ such that $\mathfrak{m}^r M_n = 0$, for all $n > 0$. Thus $\mathfrak{m}^r \subseteq \text{ann}(M)$.

Theorem 3.3 (Theorem of existence for arbitrary modules). *Let (R, \mathfrak{m}) be a local ring with infinite residual field $\frac{R}{\mathfrak{m}}$, $G = \bigoplus_{n \geq 0} G_n$ a formally equidimensional standard graded algebra and I a R -submodule of G_1 with analytic spread $s := s(I)$. Then, for $k = 1, \dots, s$, there exist unique largest R -submodules $I_{\{k\}}$ of G_1 with $\ell_R\left(\frac{I_{\{k\}}G}{I}\right) < \infty$ and*

$$I \subseteq I_{\{s\}} \subseteq \dots \subseteq I_{\{1\}} \subseteq I_{\{0\}} = q(I)$$

such that $\text{deg}\left(p_{\frac{I_{\{k\}}}{I}}\right) < s - k$, for $k = 1, \dots, s$.

Proof. Fix $0 \leq k \leq s$. Consider the set of homogenous R -submodules

$$V_{\{k\}} = \left\{ L \subseteq G_1 \mid I \subseteq L, \ell_R\left(\frac{LG}{I}\right) < \infty, \text{deg}\left(p_{\frac{L}{I}}(n)\right) < s - k \right\}$$

If $L \in V_{\{k\}}$, then $\text{deg}\left(p_{\frac{L}{I}}\right) < D - 1$ and $\ell_R\left(\frac{LG}{I}\right) < \infty$. Hence by Kleiman-Thorup's theorem [5, Proposition 6.3, pg. 202], I is a reduction of L and by

item 2. of Proposition 3.2, $L \subseteq q(I)$. Since $I \in V_{\{k\}}$ and G_1 is a Noetherian R -module, $V_{\{k\}}$ has a maximal element, say J .

We show that J is unique. Let $L \in V_{\{k\}}$ and $x \in L$. Since $I \subseteq (I, x) \subseteq L$,

$$\ell_R \left(\frac{(I, x)^n}{I^n} \right) < \ell_R \left(\frac{L^n}{I^n} \right) < \infty,$$

for all large n . Hence $\deg \left(p_{\frac{(I, x)}{I}} \right) < \deg \left(p_{\frac{L}{I}} \right) < s - k$. By Kleiman-Thorup's theorem [5, Proposition 6.3, pg. 202], I is a reduction of (I, x) , i.e., $I(I, x)^r = (I, x)^{r+1}$ for some r . Then $x^{r+1} \in I(I, x)^{r+1} \subseteq J(J, x)^r$. Hence $J(J, x)^r = (J, x)^{r+1}$. It follows that, for all $n \geq r$, $J^{n-r}(J, x)^r = (J, x)^n$.

Since $\deg \left(p_{\frac{(I, x)}{I}} \right) < \infty$, we have $\ell_R \left(\frac{(I, x)}{I} \right) < \infty$. If we consider $M = \bigoplus_{n \geq 0} \frac{(J, x)^n}{I^n}$ there exist some $t > 0$ such that $(x, I) \mathfrak{m}^t \subseteq I \subseteq J$ then $x \mathfrak{m}^t \subseteq I \subseteq J$ and $I \mathfrak{m}^t \subseteq I \subseteq J$ hence $I \mathfrak{m}^t \subseteq I \subseteq (J, x)$ then $\ell_R \left(\frac{(J, x)}{I} \right) < \infty$.

We have:

$$\begin{aligned} \ell_R \left(\frac{(J, x)^n}{I^n} \right) &= \ell_R \left(\frac{(J, x)^t J^{n-t}}{I^n} \right) \\ &\leq \sum_{i=1}^t \ell_R \left(\frac{J^{n-i} x^i + J^n}{I^n} \right) \\ &\leq \sum_{i=1}^t \left[\ell_R \left(\frac{J^{n-i} x^i + I^n}{J^n} \right) + \ell_R \left(\frac{J^n}{I^n} \right) \right] \\ &\leq \sum_{i=1}^t \left[\ell_R \left(\frac{J^{n-i}}{I^{n-i}} \right) + \ell_R \left(\frac{(J, x)^n}{I^n} \right) + \ell_R \left(\frac{J^n}{I^n} \right) \right]. \end{aligned}$$

Hence $\deg \left(p_{\frac{(J, x)}{I}} \right) < s - k$. Therefore $(J, x) \subseteq V_{\{k\}}$. By maximality of J , we conclude that $x \in J$. Hence $L \subseteq J$ and therefore J is unique and will be denoted by $I_{\{k\}}$. □

Definition 3.4. *In the same conditions of Theorem 3.3, for $k = 0, \dots, s$, we defined the R -submodule $I_{\{k\}}$ of G_1 called the k -th coefficient module of I in G .*

Observe that in Theorem 3.3 we obtain $\ell_R \left(\frac{I_{\{k\}} G}{I} \right) < \infty$, for $k = 0, \dots, s$, then we also get $\ell_R \left(\frac{I_{\{k\}}}{I} \right) < \infty$, for $k = 0, \dots, s$.

In the next results we obtain the relation between the coefficient modules and a boundary for the degree of the Rees polynomial. The proof is similar to [3].

Corollary 3.5. *In the same conditions of Theorem 3.3, let $I \subseteq K$ be two R -submodules of G_1 with $\ell_R\left(\frac{K}{I}\right) < \infty$, then we have*

$$I \subseteq K \subseteq I_{\{k\}} \subseteq \bar{I} \iff I \subseteq K \text{ and } \deg\left(p_{\frac{K}{I}}\right) < s - k.$$

Corollary 3.6. *In the same conditions of Theorem 3.3, let $I \subseteq K$ be two R -submodules of G_1 with $\ell_R\left(\frac{K}{I}\right) < \infty$, then we have*

$$\deg\left(p_{\frac{K}{I}}\right) = s - k \iff K \subseteq I_{\{k-1\}} \text{ and } K \not\subseteq I_{\{k\}}$$

for $k = 1, \dots, s$.

Corollary 3.7. *In the same conditions of Theorem 3.3, we have*

$$I_{\{k\}} = (I_{\{k\}})_{\{k\}}, \text{ for } k = 0, \dots, s.$$

4 Application

In this section we give some application considering a pair of modules $E \subseteq F \subseteq R^p$. Let (R, \mathfrak{m}) be a Noetherian local ring of Krull dimension d and E be R -submodule of the free R -module R^p , the symmetric algebra $G := \text{Sym}(R^p) = \bigoplus_n S_n(R^p)$ of R^p is a polynomial ring $R[T_1, \dots, T_p]$. If $h = (h_1, \dots, h_p) \in R^p$ we define the element $w(h) = h_1T_1 + \dots + h_pT_p \in S_1(R^p) =: G_1$. We denote by $\mathcal{R}(E) := \bigoplus_{n \geq 0} \mathcal{R}_n(E)$ the subalgebra of G generated in degree one by $\{w(h) : h \in E\}$ and call it the Rees algebra of E . We know that $\mathcal{R}(E)$ has dimension $d + p$.

If $E \subseteq F$ are two R -submodules finitely generated of R^p then we obtain two R -subalgebras, $\mathcal{R}(E)$ and $\mathcal{R}(F)$ such that

$$\mathcal{R}(E) \subseteq \mathcal{R}(F) \subseteq R[T_1, \dots, T_p].$$

We say that E is a reduction of F if exists a $n_0 > 0$ such that

$$\mathcal{R}_{n+1}(F) = \mathcal{R}_1(E)\mathcal{R}_n(F),$$

for all $n \geq n_0$. If E is the smallest R -submodule that satisfies this condition of reduction of F then we say that E is a minimal reduction of F .

The fiber cone of a R -module E in R^p is defined by

$$\mathcal{F}(E) := \bigoplus_{n \geq 0} \frac{\mathcal{R}_n(E)}{\mathfrak{m}\mathcal{R}_n(E)}.$$

The analytic spread of E is defined by $s = s(E) := \dim(\mathcal{F}(E))$.

We consider $G = \mathcal{R}(F) = \bigoplus_{n \geq 0} \mathcal{R}_n(F)$ a graded R -subalgebra of $S(R^p)$ and $I = \mathcal{R}_1(E)$ a R -submodule of $\mathcal{R}_1(F)$ we define the function

$$\begin{aligned} h_{\frac{F}{E}} : \mathbb{N} &\longrightarrow \mathbb{N} \\ n &\longmapsto \ell_R\left(\frac{\mathcal{R}_n(F)}{\mathcal{R}_n(E)}\right). \end{aligned}$$

this function $h_{\frac{F}{E}}$ becomes a polynomial $p_{\frac{F}{E}}(n)$ in n of degree at most $d + p - 1$, for all large n called generalized Buchsbaum-Rim polynomial of the pair (E, F) .

By definition we obtain

$$q(\mathcal{R}_1(E)) = \overline{\mathcal{R}_1(E)} \cap [\mathcal{R}_1(E)]^{\text{sat}},$$

then similarly we define the relative integral closure of E as a R -submodule of R^p in the following way:

$$q(E) = \overline{E} \cap E^{\text{sat}}$$

where $E^{\text{sat}} = \{x \in F; w(x) \in [\mathcal{R}_1(E)]^{\text{sat}}\}$.

In the context above, when we consider $G = \mathcal{R}(F)$, $I = \mathcal{R}_1(E)$ we have the same conditions of graded algebras. Then we achieved as application the same results in case of a pair of modules $E \subseteq F \subseteq R^p$.

Theorem 4.1. *Let (R, \mathfrak{m}) be a d -dimensional formally equidimensional local Noetherian ring with infinite residual field $\frac{R}{\mathfrak{m}}$ and $E \subseteq F$ be R -submodules finitely generated of the free R -module R^p . Then, for $k = 1, \dots, s$, there exists unique largest R -submodules $E_{\{k\}}$ of F with $\ell_R\left(\frac{E_{\{k\}}}{E}\right) < \infty$ and*

$$E \subseteq E_{\{s\}} \subseteq \dots \subseteq E_{\{1\}} \subseteq E_{\{0\}} = q(E)$$

such that $\deg\left(p_{\frac{E_{\{k\}}}{E}}\right) < s - k$, for $k = 1, \dots, s$.

The R -submodule $E_{\{k\}}$ of F is called k -th coefficient module of the pair (E, F) , for $k = 0, \dots, s$.

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